# The nilpotency class of $p$-groups in which subgroups have few conjugates 

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#### Abstract

We consider the problem of how the nilpotency class of a finite p-group can be bounded in terms of the maximum length of the conjugacy classes of (cyclic) subgroups. We sharpen some previously known bounds and also prove that a p-group in which every cyclic subgroup has at most $p^{2}$ conjugates has class at most 4 .


Dedicated to Charles Leedham-Green on the occasion of his 65th birthday

The breadth of an element $x$ in a finite $p$-group $G$ is defined to be that integer $b=\operatorname{br}(x)\left(\operatorname{or~}_{\boldsymbol{b r}}^{G}(x)\right.$, if $G$ needs to be emphasized) such that $p^{b}=\left|G: C_{G}(x)\right|$, while the breadth $\operatorname{br}(G)$ of $G$ is the supremum of $\left\{\operatorname{br}_{G}(x) \mid x \in G\right\}$ (as usual, $p$ is tacitly assumed to be a prime number, throughout). There is a wide literature on the relations between the breadth $b$ and the nilpotency class $c$ of $G$, especially on how $c$ is bounded in terms of $b$-some results are collected as Lemma 1.1 below, more can be found in the introduction of [4]. C.R. Leedham-Green has been interested in this topic since its earliest stages. Although the class-breadth conjecture, stating that $c \leq b+1$, has been confirmed in several cases, this conjecture is known to be false in general, at least for 2-groups, (see $[4,3]$ ). The best general bound known still is that given by $c<1+(p b-1) /(p-1)$, established in [5] by A.J. Gallian, a slight improvement on the bound $c<1+p b /(p-1)$ proved in [9]. A refinement due to M. Cartwright [1] for the case of 2-groups yields $c \leq 1+(5 b / 3)$ independently of the prime $p$.

Compared to the 'element' breadth, the analogous concept of 'subgroup' breadth has not received the same amount of attention. If $H$ is a subgroup of the finite $p$-group $G$ the subgroup breadth (or $s$-breadth) $\operatorname{sbr}_{G}(H)$ of $H$ in $G$ is defined by $p^{\mathrm{sbr}_{G}(H)}=\left|G: N_{G}(H)\right|$, and the s-breadth of $G$ is $\operatorname{sbr}(G):=\max \left\{\operatorname{sbr}_{G}(H) \mid H \leq G\right\}$. As far as we are aware, the first paper in the literature dealing with explicit bounds concerning s-breadth is [11], where I.D. Macdonald investigates numerical relations involving the biggest size of the conjugacy classes of subgroups in centre-by-finite groups. For instance, a special case of his Lemma 3.18 is that $\operatorname{br}(G) \leq 3 \operatorname{sbr}(G)$ for every finite $p$-group $G$. Macdonald and others also consider what we call the 'cyclic subgroup breadth', or c-breadth for short. The $c$-breadth of a finite $p$-group $G$, denoted by $\operatorname{cbr}(G)$, is the maximum of the s-breadths of cyclic subgroups of $G$, an invariant which in some sense provides a link between the breadth and the s-breadth of $G$; it is of course less than or equal to each of them. A. Mann shows in [13], Theorem 3, that $\operatorname{br}(G) \leq 3 \operatorname{cbr}(G)$ for every finite $p$-group $G$, thus improving Macdonald's result in the case of $p$-groups. Together with the bounds in the previous paragraph bounds like this lead to a linear bound for the class of a finite $p$-group depending on the c-breadth only. The aim of this paper is to contribute to improving on this bound. This will be done by showing (Proposition 1.8) that $\operatorname{br}(G)$ is actually bounded by $1+2 \operatorname{cbr}(G)$ or, better, by $2 \operatorname{cbr}(G)$ if $p$ is odd. By comparison, it is interesting to observe that the s-breadth of a finite $p$-group cannot be bounded above in terms of the breadth nor, therefore, in terms of the c-breadth: for instance, for every positive integer $n$, if $G$ is an extraspecial $p$-group of order $p^{2 n+1}$ and $p$ is odd, then $\operatorname{br}(G)=1$ and $\operatorname{sbr}(G)=n$; for $p=2$ there is also the possibility $\operatorname{sbr}(G)=n-1$ ".

[^0]Our main concern is with the nilpotency class of groups with small c- or s-breadth. It is almost immediate that $p$-groups of c-breadth at most 1 have class at most 3 ; our main result (see Section 2) is that $p$-groups of c-breadth 2 have class at most 4 . It might be that $c \leq 2+\operatorname{sbr}(G)$ for every finite $p$-group $G$, where $c$ still denotes the class of $G$. The counterexamples in [4] and [3] show that the same result is generally false if $\operatorname{sbr}(G)$ is replaced by $\operatorname{cbr}(G)$.

A final remark is that our results are stated for finite $p$-groups, but every $p$-group with finite c-breadth (with the obvious meaning) is an FC-group (by a well-known theorem of B.H. Neumann [14] it actually has finite derived subgroup, and the size of the latter is bounded in terms of the breadth and hence of the c-breadth, see [18]) and so our results immediately extend to infinite groups.

## 1. General results and preliminary lemmas

We shall make use of some known results relating (element) breadth and nilpotency class of finite $p$-groups:
Lemma 1.1. Let $G$ be a finite p-group of breadth $b$ and nilpotency class $c$. Then:
(i) $($ see $[5]) c<1+(p b-1) /(p-1)$;
(ii) (see [1]) if $p=2$ then $c \leq 1+(5 b / 3)$;
(iii) (see $[8,9,12]$ ) if $b \leq 4$ or $G$ is metabelian then $c \leq b+1$;
(iv) (see [7]) $b=1$ if and only if $\left|G^{\prime}\right|=p$;
(v) (see $[6,10,15]) b=2$ if and only if either $\left|G^{\prime}\right|=p^{2}$ or $\left|G^{\prime}\right|=|G / Z(G)|=p^{3}$.

Lemma 1.2 ([8]; see [13] for a generalization). Let $G$ be a finite p-group generated by elements of breadth at most 2. Then the nilpotency class of $G$ is at most 3 .

It is proved in [17] that if $G$ is a finite $p$-group and $n$ is an integer greater than 1 such that the elements of $G$ of breadth at least $n$ generate a proper subgroup then $\operatorname{br}(G) \leq 2 n-3$. In the special case when $n=2$ this gives:

Lemma 1.3. Let $G$ be a p-group, and assume that the elements of breadth greater than 1 in $G$ generate a proper subgroup of $G$. Then $\operatorname{br}(G) \leq 1$.

Groups with s-breadth (or, equivalently, c-breadth) 0 are nothing other than Dedekind groups, hence abelian if $p>2$, of class at most 2 if $p=2$. As stated in the introduction, it is very easy to prove that finite $p$-groups with c-breadth 1 must have class 3 at most.

Proposition 1.4. Let $G$ be a finite p-group. If $\operatorname{cbr}(G)=1$ then the nilpotency class of $G$ is at most 3.

Proof. That $\operatorname{cbr}(G) \leq 1$ means that all normalizers of cyclic subgroups of $G$ are either $G$ itself or maximal in it, hence the Frattini subgroup is contained in the kern of $G$ and hence in $Z_{2}(G)$ (see, $[16,2]$; recall that the kern, or norm, of a group is the intersection of all subgroup normalizers). This proves the result.

At least when the prime $p$ is odd, $p$-groups $G$ of c-breadth 1 are very close to having class 2 : for instance, from the upcoming Proposition 1.8 and from Lemma $1.1(v)$ it follows that $G^{\prime}$ has order at most $p$ modulo $Z(G)$ in this case. Nonetheless, for every prime, it is possible for the nilpotency class to equal 3, so the bound in Proposition 1.4 is sharp, even with reference to the s-breadth. In fact, the generalized quaternion group of order 16 and the groups

$$
\begin{aligned}
& \left\langle a, b, c \mid a^{9}=c^{3}=[b, c]=1,[b, a]=c,[c, a]=a^{3}=b^{-3}\right\rangle, \\
& \left\langle a, b, c \mid a^{p^{2}}=b^{p}=c^{p}=[b, c]=1,[b, a]=c,[c, a]=a^{p}\right\rangle, \quad \text { if } p>3
\end{aligned}
$$

all have (order $p^{4}$, for the appropriate prime $p$ and) class 3 and s-breadth 1 , as they have kern equal to the Frattini subgroup and of index $p^{2}$.

For $p$-groups $G$ with c-breadth $s>1$ the analogue of the argument in Proposition 1.4 is obtained by looking at the actions of $G$ on each conjugacy class of cyclic subgroups, which has size at most $p^{s}$. For every cyclic subgroup $H$ of $G$, if $K_{G}(H)$ denotes the normal core of $N_{G}(H)$ in $G$ then $G / K_{G}(H)$ is isomorphic to a $p$-subgroup of the symmetric group of degree $p^{s}$, hence
$G / K_{G}(H)$ can be embedded in a Sylow $p$-subgroup of $\mathbb{S}_{p^{s}}$, which is isomorphic to the $s$-fold iterated wreath product of groups of order $p$. Now the kern $K(G)$ is the intersection of all such subgroups $K_{G}(H)$. We draw two conclusions; the first settles the case ' $p=2$ ' of the problem that we are mainly interested in: bounding the class of $p$-groups of c-breadth 2. The bound is sharp, as is shown by the example of the generalized quaternion group of order 32.
Lemma 1.5. Every finite 2-group of c-breadth 2 has nilpotency class at most 4.
Proof. If $p=2=s$ then all the quotients $G / K_{G}(H)$ in the previous discussion embed in the wreath product of two groups of order two, hence $\gamma_{3}(G) \leq K(G) \leq Z_{2}(G)$.

Lemma 1.6. Let $G$ be a finite $p$-group of c-breadth $s$. Then $G^{p^{s}} \leq K(G)$. If $G$ is regular then $G^{p^{s}} \leq Z(G)$.
Proof. By the above remarks, $G^{p^{s}} \leq \bigcap_{h \in G} K_{G}(\langle h\rangle)=K(G)$. Therefore, for every $g \in G$, the element $g^{p^{s}}$ acts by conjugation on $G$ by means of a power automorphism. Suppose that $G$ is regular, so that all power automorphisms of $G$ are universal (see [2]), and let $x$ be an element of $G$ of maximal order. Then $x^{p^{s}}$ induces a universal power automorphism of $G$ centralizing $x$, which has order $\exp G$, therefore $x^{p^{s}} \in Z(G)$. Since $G^{p^{s}}$ is generated by the $p^{s}$ th powers of elements of maximal order in $G$ we have $G^{p^{s}} \leq Z(G)$.

For odd primes, a special case of interest that can be easily dealt with is that of metacyclic groups.
Lemma 1.7. Let $G$ be a metacyclic p-group of breadth $b$ and $c$-breadth $s$. Then $\operatorname{sbr}(G)=s$ and $p^{b}=\left|G^{\prime}\right| ;$ moreover:
(i) if $p>2$ then $s=b$;
(ii) if $p=2$ then $b-1 \leq s \leq b$.

Proof. Since every subgroup of $G$ is cyclic modulo its normal core we easily have that $\operatorname{sbr}(G)=s \leq$ b. Let $G=\langle x\rangle\langle y\rangle$, and suppose that $\langle x\rangle \triangleleft G$. Then $G^{\prime}=\left\{\left[x^{i}, y\right] \mid i \in \mathbb{N}\right\}$ and so $\left|G^{\prime}\right|=p^{\text {br }_{G}(y)}$. As clearly $p^{b} \leq\left|G^{\prime}\right|$ we have $p^{b}=\left|G^{\prime}\right|$ and $b=\operatorname{br}_{G}(y)$.

Suppose that $p>2$. Then $G$ is regular, so $p^{b}=\exp G^{\prime}=\exp (G / Z(G)) \leq p^{s}$ by Lemma 1.6. Hence $s=b$ and we have $(i)$.

To prove (ii), let $p=2$ and $D=\langle x\rangle \cap\langle y\rangle$. It is clear that $s \geq \operatorname{sbr}_{G}(\langle y\rangle)=\operatorname{sbr}_{G / D}(\langle y D\rangle)=$ $\operatorname{br}_{G / D}(y D)=: b^{\prime}$, since the normalizer and the centralizer of $\langle y D\rangle$ in $G / D$ coincide. Moreover $p^{b^{\prime}}=\left|(G / D)^{\prime}\right| \geq p^{b} /|D|$, thus (ii) is proved if $|D| \leq 2$. Henceforth we may assume that $|D|>2$ and $G$ is not abelian. Then $x^{y}=x^{n}$ where $n$ is an integer such that $n \equiv 1(\bmod 4)$. This latter congruence implies that the order of $n$ modulo $\circ(x)$ is exactly $\circ(x) / \bar{n}$, where $\bar{n}$ is the maximal power of 2 dividing $n-1$. It follows that $\left|G^{\prime}\right|=\left|\langle y\rangle / C_{\langle y\rangle}(x)\right| \leq|G /\langle x\rangle|$ and that $b=\operatorname{br}_{G}(x)$.

Suppose that $\circ(x) \geq \circ(y)$, and let $q=|G /\langle x\rangle|$. Then $\left(G^{\prime}\right)^{q}=1$; moreover $y^{q} x^{t q}=1$ for some integer $t$, and since $G^{\prime} \leq\langle x\rangle$, standard commutator collection yields:

$$
\left(y x^{t}\right)^{q}=y^{q} x^{t q}[x, y]^{t\binom{q}{2}}[x, y, y]^{t\binom{q}{3}} \cdots\left[x,{ }_{i} y\right]^{t\binom{q}{i+1}} \cdots\left[x,{ }_{q-1} y\right]^{t} .
$$

For every integer $i$ such that $1 \leq i<q$ we have $\left[x,{ }_{i} y\right] \in\left(G^{\prime}\right)^{4^{i-1}}$, while $\binom{q}{i+1}$ is divisible by $q / r$, where $r$ is the largest power of 2 dividing $i+1$. If $i=1$ then $r=2$. If $i>1$ then $r$ divides $2^{i-1}$ and so $[x, i y]^{\binom{q}{i+1}} \in\left(G^{\prime}\right)^{4^{i-1} q / r} \leq\left(G^{\prime}\right)^{q}=1$. Therefore $\left(y x^{t}\right)^{q}=[x, y]^{t\binom{q}{2}} \in\left(G^{\prime}\right)^{q / 2}$. It follows that $y^{\prime}:=y x^{t}$ has order $2 q$ at most, so that $G=\langle x\rangle\left\langle y^{\prime}\right\rangle$ and $\left|\langle x\rangle \cap\left\langle y^{\prime}\right\rangle\right| \leq 2$. Thus the proof is completed, if $\circ(x) \geq \circ(y)$, by reduction to the previous case. Therefore we may assume that $\circ(x)<\circ(y)$. There exists a power $y_{1}$ of $y$ which has the same order as $x$. By applying the previous argument to $y_{1}$ in the place of $y$ we obtain that $|\langle x\rangle \cap\langle g\rangle| \leq 2$, where $g=y_{1} x^{t}$ for a suitable odd integer $t$. Now $\left|\langle y\rangle / C_{\langle y\rangle}(g)\right|=\left|\langle y\rangle / C_{\langle y\rangle}(x)\right|=p^{b}$, hence $\operatorname{br}_{G}(g)=b$. Moreover $\left|G^{\prime} \cap\langle g\rangle\right| \leq 2$, so that $\left|N_{G}(\langle g\rangle) / C_{G}(g)\right| \leq 2$ and hence $s \geq \operatorname{sbr}_{G}(\langle g\rangle) \geq b-1$. This proves part (ii).

It actually happens that $s=b-1$ in the case of (generalized) quaternion groups.
Proposition 1.8. Let $G$ be a finite $p$-group of breadth $b$ and $c$-breadth $s$. Then $b \leq 2 s$ if $p>2$, and $b \leq 2 s+1$ if $p=2$.

Proof. Let $x \in G, C=C_{G}(x)$ and $N=N_{G}(\langle x\rangle)$. If $p>2$ then $N=\langle y\rangle C$ for some $y$; since $\langle x, y\rangle$ is metacyclic of c-breadth at most $s$, Lemma 1.7 shows that $y^{p^{s}} \in C$, hence $|N / C| \leq p^{s}$ and so $|G: C| \leq p^{2 s}$. The argument is similar when $p=2$ : in this case $|N:\langle y\rangle C| \leq 2$ for some $y$, and $y^{2^{s+1}} \in C$ by Lemma 1.7, hence $|N / C| \leq 2^{s+2}$ and $|G: C| \leq 2^{2 s+2}$. Furthermore, if $|G: C|=2^{2 s+2}$ then $|G: N|=2^{s}$ and $|N / C|=2^{s+2}$, hence $\circ(x)>2^{s+2}$, and $N / C$ is not cyclic, so that there exists $y \in N$ such that $x^{y}=x^{-1}$. Now $x^{2^{s+1}}=\left[x^{2^{s}}, y\right]^{-1} \in\langle x\rangle \cap\langle y\rangle$ because $x^{2^{s}}$ is in the kern of $G$ by Lemma 1.6. Then $x^{2^{s+2}}=1$, a contradiction.

This latter result improves on the bound $b \leq 3 s$ obtained by Mann [13] and quoted in the introduction. From Proposition 1.8 and from parts $(i)$ and (ii) of Lemma 1.1 we can deduce a general bound for the nilpotency class of $p$-groups in terms of their c-breadths. For a further observation on Proposition 1.8 see the closing Remark 2.3.
Theorem 1.9. Let $G$ be a finite $p$-group of $c$-breadth $s$ and nilpotency class $c$. If $p>2$ then $c<1+(2 p s-1) /(p-1)$, hence $c \leq 3 s$. If $p=2$ then $c \leq(8+10 s) / 3$.

It is clear that every improvement on the bound in Lemma $1.1(i)$ immediately yields an improvement on that in Theorem 1.9. In several special cases (among which are those in Lemma 1.1) it is known that the class of a $p$-group $G$ is bounded by $\operatorname{br}(G)+1$; when this happens the class of $G$ will be at most $2 s+1$ if $p>2$, or $2 s+2$ if $p=2$, where $s=\operatorname{cbr}(G)$.

The following lemmas play a role in the proof in the next section. Although elementary, Lemma 1.11 could be of some independent interest and therefore we state it in a slightly more general form than strictly needed.

Lemma 1.10. Let $G$ be a finite p-group with c-breadth $s>0$, and let $T$ be a subgroup of $G$ such that $\operatorname{sbr}_{G}(T)=s$ and $T / T_{G}$ is cyclic. If $S$ is the maximal subgroup of $T$ containing $T_{G}$ then, for every subgroup $H$ of $T$ not contained in $S$, we have $N_{G}(H)=N_{G}(T)$ and, in particular, $H \triangleleft T$.
Proof. If $H \leq T$ and $H \not \leq S$ then $H=\langle H \backslash S\rangle$. For every $h \in H \backslash S$ we have $T=\langle h\rangle T_{G}$ and hence $N_{G}(\langle h\rangle) \leq N_{G}(T)$. But $p^{s}=\left|G: N_{G}(T)\right|$ is the maximal possible index for the normalizer of a cyclic subgroup in $G$, hence $N_{G}(\langle h\rangle)=N_{G}(T)$. Thus $H \triangleleft N_{G}(T)$; as $T=H T_{G}$ we have $N_{G}(H)=N_{G}(T)$.

Lemma 1.11. Let $T$ be a finite nonabelian p-group having a maximal subgroup $S$ such that all subgroups of $T$ not contained in $S$ are normal in $T$. Then $T$ has nilpotency class 2 and $T^{\prime}$ is cyclic. Moreover, if $p>2$ there exists $x \in T \backslash S$ such that $\circ(x)=\exp T$ and $T^{\prime}=[x, T] \leq\langle x\rangle$. For every such $x$ we have $T=\langle x\rangle L$ where $L=\langle y \in T|\langle[x, y]\rangle=T^{\prime}$ and $\left.\langle x\rangle \cap\langle y\rangle=1\right\rangle$ has exponent dividing $\left|\langle x\rangle / T^{\prime}\right|$, and $S=\left\langle x^{p}\right\rangle L$.
Proof. For all $x \in T \backslash S$ we have $\langle x\rangle \triangleleft T$, hence $\left[T^{\prime}, x\right]=1$. It follows that $T$ has class 2 . Arguing by contradiction, assume that $T$ has minimal order subject to $T^{\prime}$ not being cyclic. Since the hypotheses are inherited by quotients modulo proper subgroups of $T^{\prime}$ it follows that $T^{\prime}$ is elementary abelian of rank 2. It follows that $\operatorname{br}(x) \leq 1$ for every $x \in T \backslash S$. By Lemmas 1.3 and 1.1 this implies that $\left|T^{\prime}\right|=p$. This contradiction shows that $T^{\prime}$ must be cyclic.

To prove the remaining part of the statement assume that $p>2$ and let $C /\left(T^{\prime}\right)^{p}=Z\left(T /\left(T^{\prime}\right)^{p}\right)$. Then $C<T$ and $T_{1}:=\{g \in T \mid \circ(g)<\exp T\}$ is a subgroup, because $T$ has class 2 , and $T_{1}<T$. It follows that $X:=C \cup T_{1} \cup S \neq T$, as $p>2$. Hence we can choose $x \in T \backslash X$. Then $[x, T]=T^{\prime}$. As $x \notin S$ then $\langle x\rangle \triangleleft T$, hence $[x, T] \leq\langle x\rangle$ and $x$ has the required properties. Fix any such $x$. Then $T=\langle\mathcal{T}\rangle$, where $\mathcal{T}=\left\{t \in T \mid\langle[x, t]\rangle=T^{\prime}\right\}$ is the set of all elements of $T$ that do not centralize $x$ modulo $\left(T^{\prime}\right)^{p}$. Let $t \in \mathcal{T}$ and let $p^{\alpha}$ be the order of $t$ modulo $\langle x\rangle$. Then $t^{p^{\alpha}}=x^{n p^{\alpha}}$ for some $n \in \mathbb{N}$ (because $\circ(x) \geq \circ(t))$ and so $\left(t x^{-n}\right)^{p^{\alpha}}=1$. Thus $y:=t x^{-n}$ has order $p^{\alpha}$ and $\langle x, t\rangle=\langle x\rangle \rtimes\langle y\rangle$. Therefore $y \in \mathcal{L}:=\{u \in \mathcal{T} \mid\langle x\rangle \cap\langle u\rangle=1\}$ and $T=\langle x\rangle L$, where $L=\langle\mathcal{L}\rangle$ is clearly normal in $T$. Moreover, $\mathcal{L} \subseteq S$, as $\langle y\rangle \nless T$ for every $y \in \mathcal{L}$, hence $L \leq S$; since $x^{p} \in S$ then $S=\left\langle x^{p}\right\rangle L$. It remains to prove that $\exp L \leq q:=\left|\langle x\rangle / T^{\prime}\right|$; it will be enough to fix $y \in \mathcal{L}$ and show that $y^{q}=1$. We have $\left|T^{\prime}\right| \leq q$, because $T$ has class 2 , hence $(x y)^{q}=x^{q} y^{q}$. As $x y \notin S$ we have that $\langle x y\rangle \triangleleft T$ and so $[x, y]=[x, x y] \in\langle x y\rangle$. Therefore $x^{q} \in\langle x y\rangle$ and so $y^{q} \in\langle x y\rangle$; as $\circ(x) \geq \circ(y)$ we have $y^{q} \in\left\langle x^{q}\right\rangle$, hence $y^{q}=1$, as we wanted to prove.

Remark 1.12. In the odd- $p$ case the previous lemma actually characterises the groups with the property considered. In fact, let $T$ be any finite $p$-group of odd order and class 2 that can be factorised as $T=\langle x\rangle L$ for some $x \in T$ and $L \leq T$. If $T^{\prime}=\left\langle x^{q}\right\rangle$ and $L^{q}=1$ for some power $q$ of $p$, and $S=\left\langle x^{p}\right\rangle L$, then every subgroup of $T$ not contained in $S$ contains $T^{\prime}$; as a matter of fact it is easy to see that $H^{q}=T^{\prime}$ for all such subgroups. (The case of 2-groups is different, the dihedral group of order 8 being an easy counterexample.) Hence, at least for groups of odd order, the property is equivalent to the apparently stronger requirement that all subgroups of $T$ not contained in $S$ contain $T^{\prime}$.

We say that a $p$-group is minimal irregular if all its proper subgroups are regular but it is not itself regular.
Lemma 1.13. Let $G$ be a minimal irregular finite p-group. Then $\left[G^{q}, G\right] \leq\left(G^{\prime}\right)^{q}$ for every power $q$ of $p$.

Proof. Let $a, b \in G$, and let $H=\left\langle a, a^{b}\right\rangle$. Then $H<G$ and so $H$ is regular. Therefore

$$
\left[a^{q}, b\right]=a^{-q}\left(a^{b}\right)^{q}=\left(a^{-1} a^{b}\right)^{q} c^{q}=[a, b]^{q} c^{q}
$$

for some $c \in H^{\prime}$, and the result follows.
Lemma 1.14. Let $G$ be a torsion nilpotent 4-Engel group of class 5 with no element of order 2. Then $[a, b, b, a, a]=[a, b, a, b, a]$ and $[a, b, a, b, a]^{-2}=[a, b, a, a, b]$, for all $a, b \in G$.
Proof. If $H=\langle a, b\rangle$ then $H / Z(H)$ is metabelian, hence $[a, b, a, b] \equiv[a, b, b, a]$ modulo $Z(H)$, so $[a, b, a, b, a]=[a, b, b, a, a]$ and $[a, b, a, b, b]=[a, b, b, a, b]$. For all integers $i$ we have

$$
1=\left[a, a^{i} b, a^{i} b, a^{i} b, a^{i} b\right]=[a, b, a, a, b]^{i^{2}}[a, b, a, b, a]^{2 i^{2}}[a, b, b, b, a]^{i}[a, b, b, a, b]^{2 i} .
$$

By considering the choices 1 and -1 for $i$ it is easy to deduce that $1=[a, b, a, a, b]^{2}[a, b, a, b, a]^{4}$ and hence the result, because $G$ has no elements of order 2 .

Clearly the lemma holds under more general hypotheses. Rather than asking that $G$ be periodic and not involve the prime 2 it is enough to require that $\gamma_{5}(G)$ be a group in which square roots, where they exist, are unique. We will make use of this lemma in a situation when $\gamma_{5}(G)$ has exponent 3 and so the result takes the nicer form $[a, b, b, a, a]=[a, b, a, b, a]=[a, b, a, a, b]$.

## 2. Groups of c-breadth 2

This section contains the proof our main result:
Theorem 2.1. Let $G$ be a finite p-group. If $\operatorname{cbr}(G)=2$ then the nilpotency class of $G$ is at most 4.

By Lemma 1.5, to prove the theorem we shall only have to consider the case when $p>2$. The proof consists in deriving a contradiction from the assumption of the existence of a minimal counterexample. So we suppose that there exists a finite $p$-group $G$ of c-breadth 2 such that all proper subgroups and quotients of $G$ have class at most 4 while $G$ has class 5 . To simplify notation we shall write $Z$ for $Z(G)$ and, for all positive integers $i, Z_{i}$ and $\gamma_{i}$ for $Z_{i}(G)$ and $\gamma_{i}(G)$ respectively. Every nontrivial normal subgroup of $G$ contains $\gamma_{5}$, hence $Z$ is cyclic and $\gamma_{5}$ is its socle. Moreover $Z_{4}=\Phi(G)$, because $G$ has class 5 and so the fact that $\gamma_{5}^{p}=1$ implies that $G^{p} \leq Z_{4}$, and, on the other hand, if $Z_{4} \not \subset \Phi(G)$ then $G=H Z_{4}$ for some $H<G$, and so $G$ would have class 4 at most.

Lemma 2.2. If $p>3$ then every finite $p$-group of $c$-breadth 2 has class at most 4 .
Proof. The statement amounts to saying that our minimal counterexample $G$ must be a 3 -group. Suppose that it is not. We shall first show that then $G$ is regular. This is straightforward if $p>5$. If $p=5$ we can argue as follows. Note first that if $G$ is not regular then it is minimal irregular, given that all proper subgroups (and also quotients) of $G$ have class at most 4. We have to prove that $(x y)^{5} \equiv x^{5} y^{5}$ modulo $\left(\langle x, y\rangle^{\prime}\right)^{5}$ for all $x, y \in G$. This is certainly true if $\langle x, y\rangle<G$, as $\langle x, y\rangle$ is regular in this case, hence we may assume that $G=\langle x, y\rangle$. For a similar reason $(x y)^{5} \equiv x^{5} y^{5}$ modulo $\left(G^{\prime}\right)^{5} \gamma_{5}$. If $\left(G^{\prime}\right)^{5} \neq 1$ then $\gamma_{5} \leq\left(G^{\prime}\right)^{5}$, as $\gamma_{5}$ is the monolith of $G$, and we
obtain $(x y)^{5} \equiv x^{5} y^{5}$ modulo $\left(\langle x, y\rangle^{\prime}\right)^{5}$, as required. If $\left(G^{\prime}\right)^{5}=1$ then $G^{5} \leq Z$ by Lemma 1.13. However it is clear that the breadth and the c-breadth of any group of prime exponent coincide, hence $\operatorname{br}\left(G / G^{5}\right)=\operatorname{cbr}\left(G / G^{5}\right) \leq 2$ and so $G / G^{5}$ has class at most 3 by Lemma 1.1; hence $G$ has class at most 4, a contradiction. Therefore $G$ is regular, even if $p=5$.

Now we may apply Lemma 1.6 to obtain that $G^{p^{2}} \leq Z$. Let bars denote images modulo $Z$. For every $x \in G$ we have $\bar{x}^{p^{2}}=1$ and so $\left|N_{\bar{G}}(\langle\bar{x}\rangle) / C_{\bar{G}}(\bar{x})\right| \leq p$. It follows that $\operatorname{br}_{\bar{G}}(\bar{x}) \leq 3$ and that if $\operatorname{br}_{\bar{G}}(\bar{x})=3$ then $N_{\bar{G}}(\langle\bar{x}\rangle)=N_{G}(\langle x\rangle) / Z$ and $\left[\bar{x}, N_{\bar{G}}(\langle\bar{x}\rangle)\right] \neq 1$, hence $[x, y]=x^{p}$ for some $y \in N_{G}(\langle x\rangle)$. Since $\left[x^{p^{2}}, y\right]=1$ we have that $x$ has order at most $p^{3}$. We know from Proposition 1.8 that $\operatorname{br}(G) \leq 4$, hence $\operatorname{br}_{G}(x)$ is either 3 or 4 . In the former case $C_{G}(x) / Z=C_{\bar{G}}(\bar{x})$ and so $x \in$ $C_{G}\left(Z_{2}\right)$, in the latter $\left|N_{G}(\langle x\rangle) / C_{G}(x)\right|=p^{2}$ and so $x$ has order $p^{3}$. Let $U=\left\langle g \in G \mid \operatorname{br}_{\bar{G}}(\bar{g}) \leq 2\right\rangle$ and $\mathcal{W}=\left\{g \in G \mid \operatorname{br}_{G}(g)=4\right.$ and $\left.\operatorname{br}_{\bar{G}}(\bar{g})=3\right\}$. By the above discussion $G=U \cup \mathcal{W} \cup C_{G}\left(Z_{2}\right)$. Since $U<G$, because $U / Z$ has class at most 3 by Lemma 1.2, and $G$ cannot be the union of three proper subgroups (as $p \neq 2$ ) we have that $G=\langle\mathcal{W}\rangle$. We also showed that every element of $\mathcal{W}$ has order $p^{3}$, hence $\exp G=p^{3}$ by regularity. Now $G^{p^{2}}$ is contained in $Z$ and has exponent $p$, therefore $G^{p^{2}}=\gamma_{5}$.

Fix $x \in \mathcal{W}$. As we showed, there exists $y \in N_{G}(\langle x\rangle)$ such that $[x, y]=x^{p}$, and so $y^{p} \neq 1$. We may also choose $y$ such that it has order $p^{2}$ : if $y^{p^{2}} \neq 1$ then $\left\langle y^{p^{2}}\right\rangle=\gamma_{5}=\left\langle x^{p^{2}}\right\rangle$, and so some element of the coset $y\langle x\rangle$ will have order $p^{2}$. Fix such an element $y$, and let $H=\langle x, y\rangle=$ $\langle x\rangle \rtimes\langle y\rangle$. Since $\langle y\rangle$ has $p^{2}$ conjugates in $H$ and $\operatorname{cbr}(G)=2$ then the $G$-conjugates of $\langle y\rangle$ are exactly the $H$-conjugates of $\langle y\rangle$, so that $\langle y\rangle^{G}=\langle y\rangle^{H}=\left\langle y, x^{p}\right\rangle$ (and $G=\langle x\rangle N_{G}(\langle y\rangle)$ ). Now $\left|\left\langle y, x^{p}\right\rangle\right|=p^{4}$, thus $y \in Z_{4}=\Phi(G)$ (see the remarks immediately preceding this lemma). On the other hand $H / H_{G}$ is cyclic, because $H=\langle x\rangle\langle y\rangle^{G}$, and $H$ has class 3, so Lemmas 1.10 and 1.11 imply that $\left|G: N_{G}(H)\right| \leq p$. Also, $N_{G}(\langle x\rangle) \leq N_{G}(H)$ and $\left\langle x^{p}\right\rangle=H^{\prime}$ is normal in $N_{G}(H)$ but not in $G$, for otherwise $\left[\Phi(G), x^{p}\right]=1$, which is false as $y \in \Phi(G)$ and $\left[y, x^{p}\right] \neq 1$. Thus $N_{G}(\langle x\rangle) \lessdot N_{G}(H)=N_{G}\left(\left\langle x^{p}\right\rangle\right) \lessdot G$ (the symbol '؟' meaning 'is a maximal subgroup of'). Let $N=N_{G}(\langle x\rangle) \cap N_{G}(\langle y\rangle)$ and $L=N_{G}\left(\left\langle x^{p}\right\rangle\right) \cap N_{G}(\langle y\rangle)$. As $G=\langle x\rangle N_{G}(\langle y\rangle)$ we have $N \lessdot L \lessdot N_{G}(\langle y\rangle)$. Since $y$ acts faithfully on $\langle x\rangle$ it is easy to see that $N$ centralizes $y$. Let $g \in N_{G}(\langle y\rangle) \backslash L$. If $g^{p}$ normalizes $\langle x\rangle$ then $\left[x, g^{p}\right] \in\left\langle x^{p^{2}}\right\rangle \triangleleft G$ (recall that $g^{p^{2}} \in Z$ ). But then, by regularity, $\left[x^{p}, g\right] \in\left\langle x^{p^{2}}\right\rangle$ and so $g$ normalizes $\left\langle x^{p}\right\rangle$, which is false. Therefore $g^{p} \notin N$, hence $L=N\left\langle g^{p}\right\rangle$ and so $[L, y]=1$. Now $G^{\prime} \leq N_{G}(H) \cap\left\langle x^{p}\right\rangle N_{G}(\langle y\rangle)=\left\langle x^{p}\right\rangle L$, hence $\left[G^{\prime}, y\right] \leq\left\langle x^{p^{2}}\right\rangle=\gamma_{5}$. On the other hand $[y, G, x]=\left[y,\langle x\rangle N_{G}(\langle y\rangle), x\right] \leq\left[\left\langle x^{p}, y^{p}\right\rangle, x\right]=\gamma_{5}$, hence the Hall-Witt identity yields $\left[x^{p}, g\right]=[x, y, g] \in \gamma_{5}$, and this is again a contradiction, since $g$ does not normalize $\left\langle x^{p}\right\rangle$.

Now we know that our minimal counterexample $G$ must be a 3 -group. Let $K$ be the kern of $G$. By the same argument used to prove Lemma 1.5 we have that $K$ is the intersection of normal subgroups $K_{G}(H)$ such that $G / K_{G}(H)$ is isomorphic to a subgroup of the wreath product $W$ of two groups of order 3, each $K_{G}(H)$ being the normal core of the normalizer of a cyclic subgroup $H$ of $G$. Extending the first half of Lemma 1.6 we conclude that:

$$
\begin{equation*}
\Phi\left(G^{\prime} G^{3}\right) \cdot\left[G^{3}, G\right] \leq K \leq Z_{2}, \text { in particular } G^{3} \leq Z_{3} \tag{1}
\end{equation*}
$$

since the corresponding verbal subgroups in $W$ are trivial. Let $u, v, w, g \in G$ and $k=[u, v, w, g]$. Then $[[u, v, w], k]=1$ and $[[u, v],[u, v, w]] \in Z$, hence $k^{3}=\left[[u, v, w]^{3}, g\right]=\left[[u, v]^{3}, w, g\right]$, so that $k^{3}=1$ because $[u, v]^{3} \in Z_{2}$ by (1). This shows that

$$
\begin{equation*}
\gamma_{4}^{3}=1 \tag{2}
\end{equation*}
$$

Since the class of $G / K$ is at least 3, we must have that $G / K_{G}(H) \simeq W$ for at least one (cyclic) $H \leq G$. Choose one such $H$ and let $S:=K_{G}(H)$. Then $G / S \simeq W$, hence $G / S=(B / S) \rtimes(T / S)$, where $|T / S|=3$ and $B / S$ is elementary abelian. By looking at the structure of $W$, we also have that $S G^{3}=S \gamma_{3}$ and $T \lessdot N_{G}(T)=T \gamma_{3}$. Therefore $T$ and $S=T_{G}$ satisfy the hypothesis of Lemma 1.10 and hence $T$ has the structure described in Lemma 1.11 if it is not abelian, in particular, $T^{\prime}$ is cyclic and central in $T$. In agreement with the notation in Lemma 1.11, choose $x \in T \backslash S$ so that $\circ(x)=\exp T$ and $T^{\prime}=[T, x]$, and let $\mathcal{L}=\left\{y \in T \mid\langle[x, y]\rangle=T^{\prime}\right.$ and $\langle x\rangle \cap\langle y\rangle=$ $1\}$. For every $y \in \mathcal{L}$ we can apply Lemma 1.7 to $\langle x, y\rangle$ and conclude that $\left|T^{\prime}\right| \leq 9$. Also fix $b \in B \backslash S G^{\prime}$, so that $G=\langle S, x, b\rangle$. We aim at proving that $G=\langle x, b\rangle$. To this purpose it will be enough to show that $S \leq Z_{4}$, since $Z_{4}=\Phi(G)$. Suppose first that $\left|T^{\prime}\right|=9$. For each $y \in \mathcal{L}$
the subgroup $\langle y\rangle$ has 9 conjugates in $H_{y}:=\langle x, y\rangle$, hence $\langle y\rangle^{G}=\langle y\rangle^{H_{y}}=\langle y\rangle T^{\prime}$. As $G^{3} \leq Z_{3}$ and $T^{\prime} \leq\left\langle x^{3}\right\rangle$, now $\left\langle y^{3}\right\rangle T^{\prime} \leq Z_{3}$, but $\left|\langle y\rangle^{G} /\left\langle y^{3}\right\rangle T^{\prime}\right|=3$, hence $\langle y\rangle^{G} \leq Z_{4}$. Therefore $S \leq Z_{4}$ in this case, and we may assume that $\left|T^{\prime}\right| \leq 3$. Let $N:=N_{G}(\langle b\rangle)$. We have $N \leq B$, because $B$ is the normalizer of $\langle b\rangle$ modulo $S$, hence $|B / N| \leq 3$ and so $[S, b] \leq B^{\prime} \leq N$. Thus $[S, b, b, b]=1$. Suppose that $T^{\prime} \triangleleft G$. Then $T^{\prime} \leq \gamma_{5}$ and so $[S, b, b, T] \leq[S, b, T] \leq[S, T] \leq Z$. Since $G=\langle T, b\rangle$ it follows that $S \leq Z_{4}$. Otherwise, if $T^{\prime} \notin G$ then $S^{\prime}<T^{\prime}$, hence $S$ is abelian, and $Z(T) \lessdot S$. Also, $|S / S \cap N| \leq 3$ and $b^{3} \in S$; it follows that $\left|S / C_{S}(b)\right| \leq 9$. Therefore $Z=C_{Z(T)}(b)$ has index at most 27 in $S$, hence $S \leq Z_{4}$ also in this case. So we have that

$$
G=\langle x, b\rangle .
$$

Now, since $\operatorname{cbr}(G)=2$ every cyclic subgroup of $G$ has subnormal defect at most 3 , hence $G$ is 4-Engel. As $\gamma_{5}^{3}=1$, Lemma 1.14 shows that:

$$
\begin{align*}
{[x, b, x, b, b] } & =[x, b, b, x, b]  \tag{3}\\
{[x, b, b, x, x] } & =[x, b, b, b, x] \\
{[x, x, b, x] } & =[x, b, x, x, b] .
\end{align*}
$$

In order that $G$ be of class 5 the commutators in at least one of these two rows must be nontrivial. Then $[x, b, b, x] \notin Z$. Since $\gamma_{3} \leq N_{G}(T)$ and $N_{G}(T)=N_{G}(\langle x\rangle)$ by Lemma 1.10 , we have that $\left[\gamma_{3}, x\right] \leq\langle x\rangle \cap \gamma_{4}$; by (2) it follows that $\left[\gamma_{3}, x\right]$ lies in the socle of $\langle x\rangle$. As $[x, b, b, x] \notin Z$ this implies that $\gamma_{5} \not \leq\langle x\rangle$. But $\left[\gamma_{4}, x\right] \leq\langle x\rangle \cap \gamma_{5}$, hence $\left[\gamma_{4}, x\right]=1$. It follows that all the commutators in (3) are trivial, and this contradiction completes the proof.

Remark 2.3. As happens for $p=2$ and the quaternion group of order 32, also for the odd primes $p$ there exist finite $p$-groups of class 4 and c-breadth, and even s-breadth, 2. These groups can be chosen to have breadth 4, hence, at least for this small value of $s$, the bounds in Proposition 1.8 are attained. For $p=3$ one such example is the group with the following presentation:

$$
\begin{aligned}
\langle a, b, x, y| a^{3} & =y^{9}=x^{27}=[a, y]=[b, y]=[a, b]=1, \\
b^{3} & \left.=x^{9},[x, y]=x^{3},[x, b]=x^{9} y^{-3},[x, a]=x^{-3} b\right\rangle,
\end{aligned}
$$

while for $p>3$ the analogous example is:

$$
\begin{gathered}
\langle a, b, x, y| a^{p}=b^{p}=x^{p^{3}}=y^{p^{2}}=[a, y]=[b, y]=[a, b]=1, \\
\left.[x, y]=x^{p},[x, b]=y^{p},[x, a]=b\right\rangle .
\end{gathered}
$$

In both cases the group has order $p^{7}$ and the fact that it has s-breadth (and therefore c-breadth) 2 can be checked along the following lines. The elements of order at most $p^{2}$ form a maximal subgroup $M$ such that $|M / Z(M)|=p^{2}$. If $H$ is a subgroup with more than $p^{2}$ conjugates then its normalizer $N$ has order at most $p^{4}$, hence $|H| \leq p^{3}$. On the other hand $H \not \leq M$, for otherwise $Z(M)<N$. Therefore $H$ must be cyclic of order $p^{3}$, but it can be directly checked that then there exists some element of $N$ that induces by conjugation an automorphism of $H$ of order $p^{2}$, so that $|N / H| \geq p^{2}$, which is again a contradiction.

It is also worthwhile to stress that, as anticipated in the introduction, the fact that the class $c$ of a finite $p$-group $G$ is bounded above by $2+\operatorname{cbr}(G)$ for the small values of $\operatorname{cbr}(G)$ considered (that is 1 and 2) is far from being a general rule, at least when $p=2$. As a matter of fact the counterexamples in [4] show that for every integer $n$ there are finite 2-groups $G$ such that $c-\operatorname{br}(G)$ and, a fortiori, $c-\operatorname{cbr}(G)$ is greater than $n$.

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