# Locally finite groups with all subgroups either subnormal or nilpotent-by-Chernikov

## GIOVANNI CUTOLO AND HOWARD SMITH

ABSTRACT. Let G be a locally finite group satisfying the condition given in the title and suppose that G is not nilpotent-by-Chernikov. It is shown that G has a section S that is not nilpotentby-Chernikov, where S is either a p-group or a semi-direct product of the additive group A of a locally finite field F by a subgroup K of the multiplicative group of F, where K acts by multiplication on A and generates F as a ring. Non-(nilpotent-by-Chernikov) extensions of this latter kind exist and are described in detail.

### 1. INTRODUCTION

Let  $\mathfrak{NC}$  denote the class of groups that are nilpotent-by-Chernikov. By results from [1] and [5], a locally graded group with every proper subgroup in  $\mathfrak{NC}$  is itself in  $\mathfrak{NC}$ , and from [2] it is known that a locally finite group in which every subgroup is subnormal is also in  $\mathfrak{NC}$ . (Examples in [7] and [9] show that one cannot remove the hypothesis of local finiteness here.) Theorem 4 of [8] states that a locally finite group G in which every subgroup is either subnormal or *nilpotent* has a subgroup of finite index in which every subgroup is subnormal, and together with [2] this shows that G belongs to  $\mathfrak{NC}$ . It is natural to ask next whether a locally finite group G in which every subgroup is either subnormal or in  $\mathfrak{NC}$  necessarily lies in the class  $\mathfrak{NC}$ . It was shown in [10] that if G is a locally soluble-by-finite group in which every subgroup is either subnormal or in  $\mathfrak{NC}$  then G is soluble-by-finite, and if G is not nilpotent-by-Chernikov then G is in fact soluble, and so the above question becomes a question about soluble groups. Let us denote by  $\mathfrak{X}$  the class of groups G in which every subgroup is either subnormal or in  $\mathfrak{NC}$ . Our first result here is as follows.

**Theorem 1.1.** Let G be a locally finite group in the class  $\mathfrak{X}$ . If G has finite exponent then G is nilpotent-by-finite.

It turns out that there are locally finite groups in  $\mathfrak{X}$  that are not in  $\mathfrak{NC}$ . Besides describing such counterexamples in detail we are able to present a necessary and sufficient condition for a locally finite group to lie in  $\mathfrak{X}$  but not in  $\mathfrak{NC}$ . This condition is not quite satisfactory, on account of the fact that we have been unable to decide whether there are any locally finite *p*-groups in  $\mathfrak{X} \setminus \mathfrak{NC}$ . We have made some progress with the *p*-group case, having shown in particular that every Baer *p*-group in  $\mathfrak{X}$  is also in  $\mathfrak{NC}$ , but we have chosen to postpone such discussion to a subsequent article. In the statement of the following result we make reference to some groups described in more detail in Section 3 of this paper. For a given group X,  $\pi(X)$ denotes as usual the set of primes *p* such that *X* has an element of order *p*, and we recall that a field is *locally finite* if and only if it has positive characteristic and is algebraic over its prime subfield.

**Theorem 1.2.** Let G be a locally finite group in  $\mathfrak{X}$  and suppose that, for every prime p, all p-sections of G belong to  $\mathfrak{NC}$ . Then the following are equivalent.

(a)  $G \notin \mathfrak{NC}$ .

(b) For some locally finite field F, G has a section isomorphic to a group  $G(F, K) := A \rtimes K$ , that satisfies the following.

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- (i) A is the additive group of F.
- (ii) K is a subgroup of the multiplicative group  $F^*$  of F that acts on A by multiplication and generates F as a ring.
- (iii)  $\pi(K)$  is infinite but  $\pi(K \cap F_1^*)$  is finite for every proper subfield  $F_1$  of F.

Theorem 3.4 (which is given in Section 3) shows that there do indeed exist groups  $A \rtimes K$  of the kind described in part (b) of Theorem 1.2.

### 2. Background results and the proof of Theorem 1.1

As a preliminary remark, let us note that a group G that is an extension of an  $\mathfrak{NC}$ -group by a Chernikov group is again in  $\mathfrak{NC}$  — a reference for this result, which will be used without further mention on several occasions, is provided in the proof of Lemma 1 of [5]. Our first lemma deals with the locally nilpotent case of Theorem 1.1.

**Lemma 2.1.** Let G be a locally nilpotent group of finite exponent and suppose that  $G \in \mathfrak{X}$ . Then G is nilpotent.

*Proof.* If G is not nilpotent then there is a prime p for which the p-component of G is not nilpotent, and we may therefore assume that G is a p-group. By hypothesis, every non-subnormal subgroup of G is nilpotent-by-Chernikov and hence nilpotent-by-finite. By Theorem 1 of [10] (though we need only apply Proposition 2 of that paper), G is soluble, and now G is a soluble p-group of finite exponent and so G is a Baer group (see, for example, Theorem 7.17 of [6]) and hence every non-subnormal subgroup of G is nilpotent. By Theorem 3 of [8] every subgroup of G is subnormal, and since G has finite exponent it follows immediately from [4] that G is nilpotent, thus completing the proof.  $\Box$ 

Much of our effort will be directed towards determining when a locally finite group G in the class  $\mathfrak{X}$  is (locally nilpotent)-by-Chernikov, that is, in the class  $(L\mathfrak{N})\mathfrak{C}$ . Our next three results, which have application beyond the finite-exponent case, together show that a locally finite group in  $\mathfrak{X} \setminus (L\mathfrak{N})\mathfrak{C}$  has a non- $(L\mathfrak{N})\mathfrak{C}$  section that is a split extension of an abelian group by an abelian group that is either elementary or of rank one.

**Lemma 2.2.** Let G be a locally finite group in  $\mathfrak{X}$  and suppose that  $G \notin (L\mathfrak{N})\mathfrak{C}$ . Then G has a non- $(L\mathfrak{N})\mathfrak{C}$  subgroup  $G_0$  with a locally nilpotent normal subgroup X such that  $G_0/X$  is either elementary abelian or of rank one and with all nontrivial primary components of prime order.

Proof. By Proposition 3 of [8], G is soluble. Let X be its locally nilpotent radical. Periodic soluble groups satisfying the the minimal condition on subnormal abelian subgroups are Chernikov (see [6], vol. 1, page 176) but G/X is not Chernikov, hence it has a subnormal abelian subgroup A/X which is not Chernikov. Then A/X has an infinite subgroup  $G_0/X$  which either is of prime exponent or has all primary components of prime order. Also, since  $G_0$  is subnormal in G, X is the locally nilpotent radical of  $G_0$ . Therefore  $G_0$  is a subgroup of the required type.

**Lemma 2.3.** Let G be a locally finite group in  $\mathfrak{X}$ , N the locally nilpotent radical of G, and suppose that G/N is an infinite elementary abelian p-group for some prime p. Then G has a non- $(L\mathfrak{N})\mathfrak{C}$  section  $G_0 := A \rtimes H$ , where H is an elementary abelian p-group, A is the locally nilpotent radical of  $G_0$  and A is an abelian p'-group.

*Proof.* We shall assume that every section of the type described is in  $(L\mathfrak{N})\mathfrak{C}$  and proceed to obtain the contradiction that  $G \in (L\mathfrak{N})\mathfrak{C}$ ; note that every  $(L\mathfrak{N})\mathfrak{C}$ -section of G belongs to the class  $(L\mathfrak{N})\mathfrak{F}$  of (locally nilpotent)-by-finite groups. We have  $N = M \times B$  for some p-subgroup M and p'-subgroup B, where both M and B are G-invariant. Since G/M has no nontrivial normal p-subgroups we see that N/M is the  $L\mathfrak{N}$ -radical of G/M, and there is no loss in factoring by M and hence assuming that N is a p'-group. Certainly every finite p-subgroup of G is contained in a larger one, and so there is an infinite abelian p-subgroup H of G and, since N is the

locally nilpotent radical of NH, we may suppose that  $G = N \rtimes H$ . Among all such non- $(L\mathfrak{N})\mathfrak{F}$  examples G, we may choose one with the derived length of N minimal. By hypothesis N is not abelian, and so there is a G-invariant abelian subgroup A of N such that each of AH and G/A is in  $(L\mathfrak{N})\mathfrak{F}$ . Hence there is a subgroup K of finite index in H such that AK and NK/A are locally nilpotent. Now N is a p'-group and K is a p-group, so we have [A, K] = 1 and  $[N, K] \leq A$ , and it follows that K acts nilpotently and hence trivially on N. But then NK is nilpotent and of finite index in G, and we have our contradiction.

**Lemma 2.4.** Let G be a locally finite group in  $\mathfrak{X}$ , N a normal locally nilpotent subgroup of G such that G/N is an infinite abelian group with all nontrivial primary components of prime order. If  $G \notin (L\mathfrak{N})\mathfrak{C}$  then G has a non- $(L\mathfrak{N})\mathfrak{C}$  section  $G_0 := A_0 \rtimes H$ , where  $A_0$  is abelian and normal, H is an infinite abelian subgroup with primary components of prime order and  $\pi(H) \cap \pi(A_0) = \emptyset$ .

Proof. Supposing that every such section of G is in  $(L\mathfrak{N})\mathfrak{C}$  and hence in  $(L\mathfrak{N})\mathfrak{F}$ , we shall obtain the contradiction  $G \in (L\mathfrak{N})\mathfrak{F}$ . Suppose first that the set  $\pi$  of primes p such that N has nontrivial p-component is finite. By passing to a subgroup of finite index in G we may assume in this case that G/N is a  $\pi'$ -group. Since G/N is countable we may apply the Schur-Zassenhaus Theorem to obtain a  $\pi'$ -subgroup H of G (which is abelian and has all nontrivial primary components of prime order) such that  $G = N \rtimes H$ . By induction on the derived length of N we may suppose that HN' is almost locally nilpotent, that is,  $H_1N'$  is locally nilpotent for some subgroup  $H_1$ of finite index in H. Since H is a  $\pi'$ -group,  $H_1$  centralizes N' and so  $H_1N/N' \notin (L\mathfrak{N})\mathfrak{F}$ . But  $H_1N/N'$  is a section of the type described above, and by hypothesis it is in  $(L\mathfrak{N})\mathfrak{C}$ . This is a contradiction, and from now on we shall suppose that  $\pi := \pi(N)$  is infinite.

There is an infinite set  $\sigma$  of primes and a set  $S := \{g_i : i \ge 1\}$  of elements of G such that S generates G modulo N and, for each i,  $g_i$  has order a power of  $p_i$  for some  $p_i \in \sigma$ , where  $i \neq j$  implies  $p_i \neq p_j$ . Since  $G \notin (L\mathfrak{N})\mathfrak{F}$  we may choose an element  $h_1$  of S such that  $N\langle h_1 \rangle$ is not locally nilpotent. If  $h_1$  has order a power of the prime  $r_1$  then there is a prime  $q_1$ , necessarily distinct from  $r_1$ , and a finite subgroup  $F_1$  of N that has order a power of  $q_1$  and is such that  $\langle h_1, F_1 \rangle \notin (L\mathfrak{N})$ . In particular, there is an element  $x_1$  of  $F_1$  such that  $[x_1, h_1] \neq 1$ . Let  $\pi_1 = \{r_1, q_1\}$ , let  $N_1$  be the  $\pi_1$ -radical of N and write  $N = N_1 \times M_1$ . From our earlier argument we have that  $G/M_1 \in (L\mathfrak{N})\mathfrak{F}$ , so all but finitely many elements of S centralize  $N/M_1$ . Thus we may choose an element  $h_2$  of S with order a power of some  $r_2 \in \sigma \setminus \pi_1$  such that  $\langle M_1, h_2 \rangle \notin (L\mathfrak{N})$ . Arguing as before, we may choose an element  $x_2$  of  $q_2$ -power order in  $M_1$ , where  $q_2$  is prime, such that  $[x_2, h_2] \neq 1$  and  $q_2 \notin \pi_1 \cup \{r_2\}$ . Set  $\pi_2 = \pi(\langle x_1, x_2, h_1, h_2 \rangle)$  and write  $N = N_1 \times N_2 \times M_2$ , where  $N_1 \times N_2$  is the  $\pi_2$ -radical of N. We have  $G/M_2 \in (L\mathfrak{N})\mathfrak{F}$ , and we may choose an element  $h_3$  of S, with order a power of some  $r_3 \in \sigma \setminus \pi_2$ , such that  $\langle M_2, h_3 \rangle \notin (L\mathfrak{N})\mathfrak{F}$ . Then we choose an element  $x_3$  of  $q_3$ -power order in  $M_2$  such that  $[x_3, h_3] \neq 1$ , where  $q_3$  is a prime not in  $\pi_2 \cup \{r_3\}$ . Set  $\pi_3 = \pi(\langle x_1, x_2, x_3, h_1, h_2, h_3 \rangle)$  and write  $N = N_1 \times N_2 \times N_3 \times M_3$ , where  $N_1 \times N_2 \times N_3$  is the  $\pi_3$ -radical of N.

Continue in this manner and let  $H = \langle h_i : i \geq 1 \rangle$ ,  $B = \langle x_i : i \geq 1 \rangle^H$ . Also, set  $\lambda = \{r_i : i \geq 1\}$ ,  $\mu = \{q_i : i \geq 1\}$ . Then B is a  $\mu$ -group and  $\lambda \cap \mu = \emptyset$ . Let  $G_1 = BH$ . If  $G_1 \in (L\mathfrak{N})\mathfrak{F}$  then there is a subgroup  $H_0$  of finite index n, say, in H such that  $BH_0$  is locally nilpotent. But then for every element h of H that has order co-prime to n we have  $B\langle h \rangle$  locally nilpotent, and so all but finitely many of the elements  $[x_i, h_i]$  are trivial, contrary to construction. Thus  $G_1 \notin (L\mathfrak{N})\mathfrak{F}$ . Similarly, if M denotes the  $\lambda$ -radical of  $N \cap G_1$  then we see that  $G_1/M$  is also not in  $(L\mathfrak{N})\mathfrak{F}$ . There is nothing lost, therefore, in factoring by M, which means that every  $\lambda$ -subgroup of  $G_1$ is now of rank one, with nontrivial primary components of prime order. Since the locally finite group  $G_1$  is countable there is, by the Schur-Zassenhaus Theorem, a  $\lambda$ -subgroup  $H_1$  of  $G_1$  that supplements  $N \cap G_1$ . If  $G_2 := B \rtimes H_1 \in (L\mathfrak{N})\mathfrak{F}$  then there is a subgroup C of finite index in  $H_1$ that centralizes B, and then  $D := C^{G_1}$  centralizes B. But  $\pi(G_1/D)$  contains just finitely many elements of  $\lambda$ , again contrary to construction, and so  $G_2 \notin (L\mathfrak{N})\mathfrak{F}$ . Suppose that B has derived length d. By our hypothesis on sections of G,  $H_1B^{(i)}/B^{(i+1)} \in (L\mathfrak{N})\mathfrak{F}$  for every  $i = 0, \ldots, d-1$ , and so there is a subgroup  $H_2$  of finite index in  $H_1$  that centralizes each  $B^{(i)}/B^{(i+1)}$ . But then  $[B_{,d}H_2] = 1$  and so  $[B, H_2] = 1$ , which gives  $BH_2$  locally nilpotent and hence  $G_2 \in (L\mathfrak{N})\mathfrak{F}$ , a contradiction that establishes the result.

**Lemma 2.5.** Let G be a locally finite group in  $\mathfrak{X}$ , and suppose that, for every prime p, all p-sections of G belong to  $\mathfrak{NC}$  but  $G \notin \mathfrak{NC}$ . Then, for some locally finite field F, G has a section isomorphic to a group  $A \rtimes K$ , where A is the additive group of F and K is an infinite subgroup of the multiplicative group  $F^*$  of F that acts on A by multiplication and generates F as a ring.

Proof. Since every p-section of G lies in  $\mathfrak{NC}$ , so does the locally nilpotent radical R, say, of G, by Lemma 2 of [10]. Thus G/R is not Chernikov, and by Lemmas 2.2, 2.3 and 2.4 we may assume that  $G = A \rtimes K$  for some abelian normal subgroup A and infinite abelian subgroup K that either is of prime exponent p or has nontrivial primary components of prime order and, in this latter case,  $\pi(A) \cap \pi(K) = \emptyset$ . Also in the former case we may assume that A is a p'-group. For if  $A = A_p \times A_{p'}$ , where  $A_p, A_{p'}$  respectively denote the p- and p'-components of A, then  $A_pK \in \mathfrak{NC}$ , by hypothesis, and if  $A_{p'}K$  is also in  $\mathfrak{NC}$  then there is a subgroup of finite index in K that centralizes  $A_{p'}$ , and we easily obtain the contradiction  $G \in \mathfrak{NC}$ .

Now, in either case, K acts nilpotently and hence trivially on A/[A, K, K] and so [A, K, K] = [A, K], while if K[A, K] is nilpotent-by-Chernikov then [A, K] is centralized by some subgroup of finite index in K and again the result follows. Replacing A by [A, K] if necessary, we may assume that A = [A, K]. Factoring, we may also assume that  $C_K(A) = 1$ .

Now let D be an arbitrary proper K-invariant subgroup of A. Since A = [A, K] = [A, KD]we cannot have KD subnormal in G, so KD is nilpotent-by-finite and D is centralized by some subgroup of finite index in K. In particular, if [A, k] < A for some non-trivial element k of Kthen we have [A, k, H] = 1 for some H with K/H finite, and since K is abelian we deduce from the three-subgroup lemma that 1 = [A, H, k] and hence that [A, H] < A, as  $C_K(A) = 1$ . This in turn gives [A, H]K nilpotent-by-finite, from which it follows that there is a subgroup L of finite index in K that centralizes [A, H]. But then  $[A, H \cap L, H \cap L] = 1$ , hence  $[A, H \cap L] = 1$ . Since K is infinite,  $H \cap L \neq 1$  and we have a contradiction, so [A, k] = A for every non-trivial element k of K.

If  $A = D \times E$  for some nontrivial K-invariant subgroups D and E then there is a subgroup H of finite index in K that centralizes both D and E and hence A, which gives a contradiction. Thus A is a q-group for some prime q. Next, let k be an arbitrary nontrivial element of K and let  $C = C_A(k), C_1/C = C_{A/C}(k)$ . Then  $[C_1, k, k] = 1$  and hence  $[C_1, k] = 1$  and  $C_1 = C$ . The map  $a \mapsto [a, k]$  induces an isomorphism from A/C onto A, and the pre-image of C under this map is just  $C_1/C$ , which is trivial. It follows that  $C_A(k) = 1$  for all nontrivial  $k \in K$ . We now claim that A is simple as a K-module: if B is a proper K-invariant subgroup of A then  $BK \in \mathfrak{NC}$  and so [B, H] = 1 for some H of finite index in K, and since  $C_A(k) = 1$  for all nontrivial  $k \in K$  it follows that B = 1 and the claim is established. In particular,  $A^q = 1$ .

Let R denote the group ring  $\mathbb{Z}_q K$ . As an R-module, A is isomorphic to the factor module F := R/M, where M is a maximal right ideal of R and the action of K on F is induced by right multiplication. Since R is commutative, M is a maximal ideal of R and F is a field. Now K embeds in a natural way in the multiplicative group of F, and since K generates R as a ring its image in F generates F. Finally, F has characteristic q and K is periodic, meaning that the elements of K (and hence those of F) are algebraic over the prime subfield of F. Thus F is locally finite, and this shows that G has the required structure.

**Proof of Theorem 1.1.** Since G has finite exponent Lemma 2.1 shows that, for every prime p, all p-sections of G are nilpotent. If G is not nilpotent-by-finite then  $G \notin \mathfrak{NC}$  and hence G has a section  $A \rtimes K \notin \mathfrak{NC}$  as described in Lemma 2.5. But K has rank one, as a periodic subgroup of the multiplicative group of a field, hence K is finite because  $\exp G$  is finite. This is a contradiction, which completes the proof of the theorem.

#### 3. Examples and the proof of Theorem 1.2

Prompted by Lemma 2.5, we shall study split extensions  $A \rtimes K$  of the type described there and determine under which conditions they belong to the class  $\mathfrak{X} \setminus \mathfrak{NC}$ .

Let F be a locally finite field of (prime) characteristic p and let A and  $F^*$  respectively denote the additive and multiplicative groups of F. If K is a subgroup of  $F^*$  that generates F as a ring (or, equivalently, as a field) then we denote by G(F, K) the split extension  $A \rtimes K$ , where the action of K on A is by (field) multiplication. With this setting we have, for G = G(F, K),  $p \notin \pi(K)$  and  $C_G(A) = A$ , so A = Fitt(G); also, if  $0 \neq a \in A$  then  $C_G(a) = A$  and  $\langle a \rangle^K = A$ . This latter equality follows from the fact that for all  $b \in A$  we have  $ba^{-1} = k_1 + k_2 + \cdots + k_n$ for elements  $k_i$  of K and hence, in group notation,  $b = a^{k_1}a^{k_2}\cdots a^{k_n}$ . Thus G is a metabelian group with monolith, derived subgroup and locally nilpotent radical all equal to A. Then  $G \in \mathfrak{NC}$  if and only if K is Chernikov, that is (since K has rank one), if and only if  $\pi(K)$  is finite. In what follows it will often be convenient to regard each element of G as an ordered pair (a, k), with the obvious identification of  $a \in A$  with  $(a, 1_F)$  and  $k \in K$  with  $(0_F, k)$ . Then, for example, the rules for forming conjugates and commutators are given by  $(a, k)^{(b,l)} =$  $(-bl + al + blk^{-1}, k), [(a, k), (b, l)] = (ak(l - 1_F) + bl(1_F - k)), 1_F).$ 

**Lemma 3.1.** Suppose that  $F_1$  is a subfield of F that is generated by a nontrivial subgroup  $K_1$  of K. Then the normalizer of  $G_1 := G(F_1, K_1)$  in G := G(F, K) is  $G(F_1, K \cap F_1^*)$ , where  $F_1^*$  is the multiplicative group of  $F_1$ .

Proof. First note that  $G(F_1, K \cap F_1^*)$  is well-defined since  $K \cap F_1^*$  contains  $K_1$  and hence generates  $F_1$ . We have  $G_1 = A_1K_1$ , where  $A_1$  is the additive group of  $F_1$ , and if  $a, b \in A_1, k \in K_1$  and  $l \in K \cap F_1^*$ , then  $(a, k)^{(b,l)} \in G_1$  and so  $G(F_1, K \cap F_1^*) \leq N := N_G(G_1)$ . It is enough now to show that  $N \leq A_1F_1^*$ . Let  $u = (a, k) \in N$ , where  $a \in A, k \in K$ . Since  $(1_F, 1_F) \in A_1$  it follows that  $(k, 1_F) = (1_F, 1_F)^u \in A_1$  and hence  $k \in F_1^*$ . It follows too that  $(a, 1_F) \in N \cap A$ , since  $(a, 1_F) = (a, k)(0_F, k^{-1})$  and  $k^{-1} \in K \cap F_1^* \leq N$ . Since  $K_1 \neq 1$  we may choose  $x \in K_1$  with  $x \neq 1_F$ . We have  $[(a, 1_F), (0_F, x)] = (ax - a, 1_F)$ , which therefore belongs to  $G_1 \cap A = A_1$ ; hence  $ax - a = a(x - 1_F) \in F_1$ . Since  $0_F \neq x - 1_F$  we deduce that  $a \in F_1$  and hence that  $u \in G(F_1, K \cap F_1^*)$ , as required.

**Lemma 3.2.** With the notation of Lemma 3.1, if  $F_1$  is a proper subfield of F then  $G_1$  is not subnormal in G.

Proof. Suppose that  $G_1 = H_0 \triangleleft H_1 \triangleleft \cdots \triangleleft H_n \leq G$ , for some positive integer n, and let  $N = N_G(H_0)$ . By Lemma 3.1,  $N = G(F_1, K \cap F_1^*) = A_1(K \cap F_1^*)$ , and so  $H_1 = H_1 \cap N = A_1K_2$ , where  $K_2 = K \cap F_1^* \cap H_1$ . Since  $H_1 \geq H_0 \geq K_1$  we see that  $H_1 = G(F_1, K_2)$ . Again by Lemma 3.1, the normalizer in G of  $H_1$  is  $G(F_1, K \cap F_1^*)$ , which is just N, and repeating the argument we have that  $N_G(H_i) = N$  for every  $i \geq 0$ . It follows that  $H_n \leq N$ , and since N < G the lemma is proved.

We are now in a position to provide a necessary and sufficient condition for a group G(F, K) to be in  $\mathfrak{X} \setminus \mathfrak{NC}$ . Our result is as follows, where F, K and G(F, K) are as described in the opening paragraph of this section.

Lemma 3.3. The following are equivalent.

- (a) G := G(F, K) is in  $\mathfrak{X} \setminus \mathfrak{NC}$ .
- (b)  $G \notin \mathfrak{NC}$  but every subgroup of G is either normal or abelian-by-Chernikov.
- (c)  $\pi(K)$  is infinite but  $\pi(K \cap F_1^*)$  is finite for every proper subfield  $F_1$  of F, where  $F_1^*$  is the multiplicative group of  $F_1$ .

*Proof.* Firstly suppose that (a) holds, and consider the statement (c). Since K has rank one but is not Chernikov,  $\pi(K)$  must be infinite. Let  $K_1 = K \cap F_1^*$ , where  $F_1$  and  $F_1^*$  are as stated, and suppose as we may that  $K_1$  is nontrivial (which it is in any case except possibly when p = 2, since  $F_1$  contains the prime subfield of F). Then  $G_1 := G(F_1, K_1)$  is not subnormal in G, by

Lemma 3.2, hence  $G_1 \in \mathfrak{NC}$ . Since  $\operatorname{Fitt}(G_1)$  is the additive group of  $F_1$ ,  $K_1 \cong G_1/\operatorname{Fitt}(G_1)$  is Chernikov, which amounts to saying that  $\pi(K_1)$  is finite. Thus (a) implies (c). Since (b) implies (a) it suffices now to show that (c) implies (b).

Assuming that (c) holds, let A be the additive group of F and let  $H \leq G$ . If  $H \cap A = 1$  then H is abelian. Otherwise, let a be a nontrivial element of  $H \cap A$  and let  $H^* = AH \cap K$ . We have  $H \geq \langle a \rangle^{H^*} = \langle a \rangle^{F_1}$ , where  $F_1$  is the ring (equivalently, the field) generated by  $H^*$  in F. If  $F_1 = F$  then  $\langle a \rangle^{F_1} = A$  and so  $A \leq H \lhd G$ . If  $F_1 \subset F$  then by hypothesis  $\pi(H^*)$  is finite, hence  $HA/A \cong H^*$  is Chernikov and H is abelian-by-Chernikov. We have thus established that the arbitrary subgroup H of G is either normal in G or abelian-by-Chernikov, and this completes the proof.

In view of Lemma 2.5, Lemma 3.3 completes the proof of Theorem 1.2.

We have not yet addressed the question of the existence of locally finite groups in  $\mathfrak{X} \setminus \mathfrak{NC}$ . We shall provide such examples with the help of Lemma 3.3. Note that if K and F are such that condition (c) in this lemma is satisfied, and  $K_1$  is a subgroup of K such that  $\pi(K_1)$  is infinite, then  $K_1$  generates F and still has the property required for K, hence  $G(F, K_1)$  is again in  $\mathfrak{X} \setminus \mathfrak{NC}$ . This holds, for instance, when  $K_1$  is any infinite subgroup of the socle of K; in this case  $G(F, K_1)$  is a group of the type arising in Lemma 2.4, and all of its subgroups are either normal or abelian-by-finite.

The key tool for our construction is Zsigmondy's Theorem (see, for instance, Theorem 1.16 of [3]), a special case of which shows that if p is a prime and n is a positive integer then, with the exception of the case when p = 2 and n is 1 or 6, there exists a prime q such that the multiplicative order of p modulo q is exactly n. We shall call such a q a Zsigmondy prime for  $p^n$ . This special case of Zsigmondy's Theorem can be stated equivalently as follows: if F is a finite field then, unless |F| is 2 or  $2^6$ , F is generated (as a field) by some element x of prime (multiplicative) order. For, if  $0 \neq x \in F$  and  $|F| = p^n$ , then the order q of x divides  $p^n - 1$  and the requirement that x is in no proper subfield of F means exactly that q does not divide  $p^m - 1$  for any m < n. We shall call such a generator of F is a Zsigmondy prime for |F|, and that if  $F_1$  is another finite field, of the same characteristic as F but different order, then Zsigmondy generators of F and  $F_1$  also have different orders.

**Theorem 3.4.** Let F be an infinite locally finite field. Then the multiplicative group of F has a subgroup K such that K generates F as a field and  $G(F, K) \in \mathfrak{X} \setminus \mathfrak{NC}$ 

Proof. Since F is countable,  $F = \bigcup_{i \in \mathbb{N}} F_i$  for some chain  $F_1 \subset F_2 \subset F_3 \subset \cdots$  of finite fields, where we may assume that  $F_1$  has order greater than  $2^6$ . For each of the fields  $F_i$  choose a Zsigmondy generator  $x_i$ . Let K be the subgroup of the multiplicative group of F generated by all elements  $x_i$ . Then K is the direct product of the subgroups  $\langle x_i \rangle$ , which have (pairwise different) prime orders, so that  $\pi(K)$  is infinite. It is also clear that K generates F as a field, and that if  $\pi$  is an infinite subset of  $\pi(K)$  then the subfield generated by the  $\pi$ -component of K contains infinitely many of the subfields  $F_i$ , and hence is F. It follows that K satisfies condition (c) of Lemma 3.3. Therefore  $G(F, K) \in \mathfrak{X} \setminus \mathfrak{NC}$ , as required.  $\Box$ 

We make two further remarks concerning the groups G(F, K) in the class  $\mathfrak{X}$ . Firstly, while Theorem 3.4 does not provide an explicit characterization of such groups, nevertheless it is not far away from doing so, since the construction described in its proof has a sort of converse, as follows.

**Proposition 3.5.** Let *F* be a locally finite field and *H* a subgroup of its multiplicative group. If  $G(F, H) \in \mathfrak{X} \setminus \mathfrak{NC}$  then the socle of *H* has a subgroup *K* such that  $G(F, K) \in \mathfrak{X} \setminus \mathfrak{NC}$  and *K* can be obtained by the construction outlined in the proof of Theorem 3.4.

*Proof.* Let S be the socle of H. We have already noted that  $G(F,S) \in \mathfrak{X} \setminus \mathfrak{MC}$ . We define a strict, partial order relation on the infinite set  $\pi := \pi(S)$  as follows: if  $p, q \in \pi$  we let  $p \prec q$ 

if and only if the subfield of F generated by the p-component of S is strictly contained in the subfield generated by the q-component of S. We claim that, with respect to this ordering,  $\pi$  has no maximal elements. For, let P be the prime subfield of F and assume, for a contradiction, that q is maximal in  $(\pi, \prec)$ . Let x be an element of order q in S and n = [P(x) : P], the degree of x over P. Let r be a prime divisor of n, W(r) the set of all positive integers m such that the greatest common divisor of n and m divides n/r. Then W(r) is closed under taking divisors and forming lowest common multiples, hence the set  $F_r$  of all elements of F whose degree over P is in W(r) is a subfield of F. Now  $x \notin F_r$ , hence  $F_r \neq F$  and Lemma 3.3 shows that  $S \cap F_r$  is finite. It follows that  $\pi$  has an infinite subset  $\psi$  with the property that the  $\psi$ -component of S is disjoint from the union of the subfields  $F_r$ , where r ranges over the prime divisors of n. Let  $s \in \psi$  and let y be an element of order s in S. If m = [P(y) : P] then  $m \notin W(r)$  for any prime divisor r of n (as  $y \notin F_r$ ), hence n divides m and  $P(x) \subseteq P(y)$ . Equality may hold for finitely many values of s only, so we can choose s such that  $P(x) \subset P(y)$ , meaning that  $q \prec s$ . This contradicts the maximality of q and establishes our claim.

Now let  $\xi$  be a maximal chain in  $\pi$  with respect to  $\prec$ . Since  $\pi$  has no maximal elements  $\xi$  is infinite. Arrange its elements in a strictly increasing sequence  $(q_i)_{i\in\mathbb{N}}$  and, for all i, let  $x_i$  be an element of order  $q_i$  in S and  $F_i = P(x_i)$ . For all  $i \in \mathbb{N}$  we have  $q_i \prec q_{i+1}$  and hence  $F_i \subset F_{i+1}$ . Let  $K = \langle x_i \mid i \in \mathbb{N} \rangle$  in the multiplicative group of F. Since  $\pi(K) = \xi$  is infinite K generates F (see the remarks following Lemma 3.3) and so  $F = \bigcup_{i \in \mathbb{N}} F_i$ . Finally, for each  $i, x_i$  is a Zsigmondy generator for  $F_i$ , thus K is a group that can be obtained by means of the construction given in Theorem 3.4.

Our final remark is that only in a special case do we have  $G(F, F^*) \in \mathfrak{X} \setminus \mathfrak{NC}$ , where  $F^*$  is the full multiplicative group of F. Let P be a field of prime order p and q a prime (not necessarily different from p). Fix a normal closure  $\overline{P}$  of P and let  $\overline{P}(q)$  be the subfield of  $\overline{P}$  consisting of all elements whose degrees over P are powers of q. If, for all nonnegative integers i, we denote by  $P_i$  the only subfield of  $\overline{P}$  with degree  $q^i$  over P, then  $\overline{P}(q)$  is the union of the chain  $\{P_i \mid i \in \mathbb{N}_0\}$  and the  $P_i$  account for all proper subfields of  $\overline{P}(q)$ .

**Proposition 3.6.** Let F be a locally finite field and let  $F^*$  be its multiplicative field. Then  $G(F, F^*) \in \mathfrak{X} \setminus \mathfrak{NC}$  if and only if F is isomorphic to one of the fields  $\overline{P}(q)$  just defined.

Proof. If  $F \cong \overline{P}(q)$  then  $\pi(F^*)$  is infinite by Zsigmondy's Theorem, and all proper subfields of F are finite. Then  $G(F, F^*) \in \mathfrak{X} \setminus \mathfrak{MC}$  by Lemma 3.3. Conversely, assume  $G(F, F^*) \in \mathfrak{X} \setminus \mathfrak{MC}$ and let P be the prime subfield of F. Let  $\pi$  be the set of all primes r such that F has an element of degree r over P. For every subset  $\psi$  of  $\pi$  let  $F_{\psi}$  be the subfield of F consisting of all elements whose degrees over P are  $\psi$ -numbers. If  $\pi$  is infinite, choose a strictly decreasing sequence  $(\pi_i)_{i\in\mathbb{N}}$  of proper subsets of  $\pi$ . If  $K_i$  is the multiplicative group of  $F_{\pi_i}$  for all i then  $(K_i)_{i\in\mathbb{N}}$  is a strictly decreasing sequence of subgroups of  $K_1$ . In that case  $K_1$  is not Chernikov and hence  $\pi(K_1)$  is infinite; since  $F_{\pi_1} \neq F$  this is a contradiction. Hence  $\pi$  is finite.

For each  $q \in \pi$ , consider the set  $S_q$  of all positive integers n such that F has a subfield of degree  $q^n$  over P. If every  $S_q$  is finite then there is an upper bound on the degrees over P of the elements of F, but this gives the contradiction that F is finite, and so  $S_q$  is infinite for some  $q \in \pi$ . This implies that  $F_{\{q\}} \cong \overline{P}(q)$ . The multiplicative group of  $\overline{P}(q)$  involves infinitely many primes, and we deduce from Lemma 3.3 that  $F_{\{q\}} = F$ , which is what we were required to prove.

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UNIVERSITÀ DEGLI STUDI DI NAPOLI "FEDERICO II", DIPARTIMENTO DI MATEMATICA E APPLICAZIONI "R. CACCIOPPOLI", VIA CINTIA — MONTE S. ANGELO, I-80126 NAPOLI, ITALY *E-mail address*: cutolo@unina.it

URL: http://www.dma.unina.it/~cutolo/

DEPARTMENT OF MATHEMATICS, BUCKNELL UNIVERSITY, LEWISBURG, PENNSYLVANIA 17837, USA. *E-mail address:* howsmith@bucknell.edu